“One Professional Team”
Accountability - Integrity - Efficiency

Department of Defense
Office of the Inspector General

Inspections and Evaluations

CI GIE I &E Training Conference
June 17, 2009

Overview of Inspections and Evaluations

Wm Brem Morrison
Assistant Inspector General
Overview

- Mission
- I&E Organization and Functions
- Staffing
- Spectrum of Activities
- Management Documents
- Completed Projects
- Current Portfolio
- Summary—Oversight through Inspections and Evaluations
Mission

To provide policy guidance and oversight to the DoD inspections and evaluations community, and to conduct objective and independent customer-focused management and program assessments that address areas of interest to Congress and the Department of Defense.
Oversight

SECRETARY OF DEFENSE

INSPECTOR GENERAL

PRINCIPAL DEPUTY INSPECTOR GENERAL

DEFENSE COUNCIL ON INTEGRITY AND EFFICIENCY

AUDIT

POLICY & OVERSIGHT

SPECIAL PLANS & OPERATIONS

INVESTIGATIONS

INTELLIGENCE

ARMY AUDIT AGENCY
NAVAL AUDIT SERVICE
AIR FORCE AUDIT AGENCY
DEFENSE CONTRACT AUDIT AGENCY

MILITARY IGS
JCS
ARMY AND AIR FORCE IGS
NAVAL & USMC IGS
NATIONAL GUARD & JT IGS

CID (ARMY)
NCIS (NAVY)
OSI (AIR FORCE)
DCIS (IG)

NRO
DIA

NSA
NGA

DTRA

“One Professional Team”
Integrity - Efficiency - Effectiveness
Policy & Oversight Organization

Charles W. Beardall
Deputy Inspector General

Administrative Mgr
Admin Specialist

Special Assistant
External Policy

Executive Secretary

Audit Policy & Oversight
Investigative Policy & Oversight
Wm Brem Morrison AIG Inspections & Evaluations
Technical Assessment
I&E Organization & Functions

Inspections & Evaluations Directorate

Oversight Division
- Inspections & Assistance
  - DoD IG I&E Community
  - Armed Forces Retire Home
  - Federal Voting Program
  - Section 847--Ethics
  - HOTLINE Quality Revs
- Research for Inspections Best Practices

Programs & Policy Division
- Policy Formulation
  - DoD Directive 5106.04
  - DoD Instr 5106.05
  - Combatant Command IG Course
  - Outreach Programs
  - Internal DoD OIG Inspections Support (OPR)

Assessments Division
- “Over-the-Horizon” Issues
- DoD Transformations
  - GWOT/Readiness
  - Stability, Security, Transition, Reconstruction
  - Energy/Environment, Safety and Health
  - Trafficking In-IP
  - Business Transformation
### Staffing

<table>
<thead>
<tr>
<th>Category</th>
<th>Number</th>
</tr>
</thead>
<tbody>
<tr>
<td>Supervisors</td>
<td>4</td>
</tr>
<tr>
<td>Admin</td>
<td>2</td>
</tr>
<tr>
<td>Civ Action Officers</td>
<td>12</td>
</tr>
<tr>
<td>Mil AOs</td>
<td>4</td>
</tr>
<tr>
<td><strong>Total</strong></td>
<td><strong>20</strong></td>
</tr>
<tr>
<td>IMAs</td>
<td>2</td>
</tr>
<tr>
<td>Summer Interns</td>
<td>3</td>
</tr>
</tbody>
</table>
# Spectrum of I&E Activities

<table>
<thead>
<tr>
<th>Criteria</th>
<th>Oversight Inspection</th>
<th>Directed Inquiry</th>
<th>Program Assessment</th>
<th>Systemic Evaluation</th>
</tr>
</thead>
<tbody>
<tr>
<td>Focus</td>
<td>Meeting Established Standards (Yes/No)</td>
<td>Describing what happened</td>
<td>Improving Existing Programs / Processes</td>
<td>Developing Programs, Processes, Criteria, or Definitions</td>
</tr>
<tr>
<td>Clear Standards?</td>
<td>Yes</td>
<td>Yes</td>
<td>Yes</td>
<td>Not Always</td>
</tr>
<tr>
<td>Answer “Why is there a Deviation from Standard?”</td>
<td>No</td>
<td>Yes</td>
<td>Yes</td>
<td>Yes, if standard exists</td>
</tr>
<tr>
<td>Does it Challenge the Standard?</td>
<td>No</td>
<td>No</td>
<td>Maybe</td>
<td>Maybe</td>
</tr>
<tr>
<td>Product</td>
<td>Verify Compliance</td>
<td>Describe Situation/Actions</td>
<td>Process Improvement</td>
<td>Systemic Program Change</td>
</tr>
<tr>
<td>Objective</td>
<td>Specific</td>
<td>Event Specific</td>
<td>Program Specific</td>
<td>Broad</td>
</tr>
<tr>
<td>Time to Completion?</td>
<td>1-3 Months</td>
<td>1-6 Months</td>
<td>6-12 Months</td>
<td>6-12 Months...but could lead to additional projects</td>
</tr>
</tbody>
</table>
Management Documents

- Strategic Plan
- Communications Plan
- Quality Control/ Quality Assurance Plan
- Work Plan
- Operations Handbook (Draft)
Completed Projects Examples (Requestor)

- Organizing the Afghan Army (Commander/ CFC-A)
- Evaluation of DoD Safety Program (Pentagon)
- Critical Infrastructure Protection (Pentagon)
- Trafficking in Persons (Pentagon)
- Annual Voting Assistance Program (Congress)

Interagency Projects
- DoD/ VA--Care Transition for Wounded Warriors (Pentagon)
- DoD/ DOS—Iraqi Police Training (Self)
- DoD/ DOS—Afghan Police Training (Self)
- DoD/ DOS—Counternarcotics in Afghanistan (Self)
Electrocutions - Iraq
- Review all relevant management, contracting and maintenance actions prior and subsequent to the electrocution of Staff Sergeant Maseth on 2 Jan 08
- Requestor: DUSD for Acquisition and Technology

Accident Reporting
- Evaluate DoD reporting process involving civilian and military injuries caused by reportable accidents
- Requestor: ADUSD(ESOH)

Service Treatment Record
- Identify issues and opportunities to improve the Service Treatment Record (STR) consolidation and transfer process
- Requestor: PDUSD(P&R)

Combating Trafficking in Persons
- Evaluate the DoD CTIP program
- Requestor: DoDI 2200.01, “Combating Trafficking in Persons (CTIP)”
Oversight Division Portfolio

- **Armed Forces Retirement Home—Washington D.C.**
  - Inspect all aspects of the Retirement Home to include financial and contracting records, independent living, assisted living, medical and dental care, pharmacy, and other administrative and health care functions.

- **Voting Assistance Program CY 2009**
  - Assess annually the Military Services’ compliance with voting assistance guidelines and the effectiveness of the voting assistance programs.
  - Requestor: Congress—Section 1566, Title 10, USC

- **Section 847—Ethics Counseling**
  - “INSPECTOR GENERAL REVIEW- The Inspector General of the Department of Defense shall conduct periodic reviews to ensure that written opinions are being provided and retained in accordance with the requirements of this section. The first such review shall be conducted no later than two years after the date of the enactment of this Act.”

- **Defense Hotline Quality Assurance Reviews**
  - The DoD IG will “conduct periodic QARs of DoD Component implementation of the Defense Hotline and of Component hotlines. . .”
  - Requestor: DOD Directive 7050.1, Defense Hotline Program
Policy and Programs Portfolio

- Policy Formulation
  - DoDD 5106.04 and DoDI 5106.05

- Joint/Combatant Command IG Course

- Internal DoD OIG Inspections Support

- Outreach Activities
Other Projects

- **Section 1206—Train and Equip Program**
  - Evaluate the overall effectiveness and efficiency of the Section 1206 program in building the capacity of foreign militaries to combat terrorism or to participate with the US in joint military or stability operations
  - Requestor: DUSD Policy & Director, Joint Staff

- **Electrical and Fire Safety—Afghanistan**
  - Review the effectiveness of command efforts to ensure the electrical safety of DoD occupied and constructed facilities in Afghanistan
  - Requestor: DoD IG
Summary—Oversight through Inspections and Evaluations

Rounding out the Power and Potential of the IG Act—Oversight through Inspections and Evaluations

Transformation
Diverse Expertise
Specific Expertise

Leading Indicators
Risk Management-Prevention
General Concepts

EVENT / DECISION

Trailing Indicators
Consequence Management
Established Criteria

Primary Goal: ASSIST

Primary Goal: VALIDATE

FORESIGHT
INSIGHT
HINDSIGHT

Mutual Interest
Our Web-site

www.dodig.mil