



*Council of the*  
**INSPECTORS GENERAL**  
*on INTEGRITY and EFFICIENCY*

December 20, 2016

The President  
The White House  
Washington, DC 20500-0000

Dear Mr. President:

Section 11(d)(9) of the Inspector General Act of 1978, as amended, requires the Council of the Inspectors General on Integrity and Efficiency (CIGIE) to submit to the President and the Congress an annual report on the activities of the CIGIE Integrity Committee (IC). Enclosed is the report of the IC Chairperson, who by statute, as of the date of the attached report, was an official of the Federal Bureau of Investigation, summarizing the IC activities for fiscal year 2016. The report provides information on the number, status, and disposition of complaints received by the IC during this period.

Thank you for your continued support for the work of the Inspector General community. Should your staff wish to receive any additional information concerning this report or any other CIGIE activity, please have them contact me at (202) 514-3435.

Respectfully,

Michael E. Horowitz  
Chairperson

Enclosure

cc: Honorable Andrew Mayock, Executive Chairperson  
Council of the Inspectors General on Integrity and Efficiency



# Integrity Committee

Council of the Inspectors General on Integrity and Efficiency

935 Pennsylvania Ave, NW, Room 7452, Washington, DC 20535 • IC\_Complaints@ic.fbi.gov

Patrick W. Kelley  
Chair  
Assistant Director  
Federal Bureau of  
Investigation

December 15, 2016

Michael Horowitz  
Chair  
Council of the Inspectors General on Integrity and Efficiency  
1717 H Street, N.W.  
Suite 825  
Washington, D.C. 20006

Scott Dahl  
Vice Chair  
Inspector General  
Department of Labor

Re: Fiscal Year 2016 Annual Report to Congress and the President

Dear Mr. Horowitz:

This letter provides the annual report of the activities of the Integrity Committee (IC) over the last fiscal year to Congress and the President as required by section 11(d)(9) of the Inspector General Reform Act of 2008 (IG Reform Act).

The IG Reform Act directs the IC to address allegations of serious misconduct about Inspectors General (IG) and their designated employees. The IC submits the following information concerning the allegations it received and addressed during Fiscal Year 2016 (FY2016) from October 1, 2015 - September 30, 2016.

- 68 - Allegations received.
  - o 41 - Allegations within IC purview.
    - 36 - Allegations reviewed and closed.
    - 1 - Allegation referred for investigation.
    - 4 - Allegations pending.
  - o 27 - Allegations outside of IC purview.
    - 27 - Allegations reviewed and closed.
    - 0 - Allegations pending.
- 3 - Allegations referred for investigation by the IC to an independent Office of Inspector General (OIG).
  - o 1 - Administrative investigation was initiated from an allegation received in FY2016.
  - o 2 - Administrative investigations were initiated from allegations received in the previous fiscal year, FY2015.
- 5 - Allegations referred to other agencies, including allegations referred for criminal investigation.
  - o 4 - Allegations within IC purview.
  - o 1 - Allegations outside of IC purview.

- 58 - Allegations closed without referral.
  - o 32 - Allegations within IC purview.
  - o 26 - Allegations outside of IC purview.

Additionally, the IC revised its policies and procedures, which were officially adopted on July 26, 2016.

The attached enclosures provide additional information for dissemination to Congress and the President.

Sincerely,



Patrick W. Kelley  
Chairman  
Integrity Committee

Enclosure:   FY2016 Summary of Investigations  
                  FY2016 Chart of Allegations to the IC

Enclosure 1: FY2016 Summary of Investigations

FY2016 On-going Investigations:

There are currently three on-going administrative investigations before the IC. Two investigations originated from separate complaints submitted in September 2015 (FY2015), while the third originated in December 2015 (FY2016). In all three instances, the investigations were initiated in March 2016. The Integrity Committee does not provide substantive information on pending investigations.

**Enclosure 2: FY2016 Chart of Allegations to the IC  
 Complaints within IC Purview**

| IC# | Date Received | Date Disposed | Referred to Another Agency | Request for Response | Investigation | Does not Meet Threshold Standard |
|-----|---------------|---------------|----------------------------|----------------------|---------------|----------------------------------|
| 830 | 10/1/2015     | 11/5/2015     | -                          | -                    | -             | Yes                              |
| 831 | 10/2/2015     | 1/29/2016     | -                          | Yes                  | -             | -                                |
| 832 | 10/15/2015    | 12/10/2015    | -                          | -                    | -             | Yes                              |
| 833 | 10/20/2015    | 11/5/2015     | -                          | -                    | -             | Yes                              |
| 834 | 10/20/2015    | 11/5/2015     | -                          | -                    | -             | Yes                              |
| 835 | 10/29/2015    | 1/29/2016     | -                          | Yes                  | -             | -                                |
| 836 | 10/29/2015    | 3/17/2016     | -                          | Yes                  | -             | -                                |
| 837 | 11/9/2015     | 12/10/2015    | -                          | -                    | -             | Yes                              |
| 838 | 11/19/2015    | 12/10/2015    | Yes                        | -                    | -             | Yes                              |
| 839 | 12/1/2015     | 3/17/2016     | -                          | Yes                  | -             | -                                |
| 840 | 12/3/2015     | 1/29/2016     | -                          | -                    | -             | Yes                              |
| 841 | 12/7/2015     | Pending       | -                          | Yes                  | Yes           | -                                |
| 842 | 12/9/2015     | 1/29/2016     | -                          | -                    | -             | Yes                              |
| 843 | 12/29/2015    | 1/29/2016     | -                          | -                    | -             | Yes                              |
| 844 | 1/12/2016     | 1/29/2016     | -                          | -                    | -             | Yes                              |
| 845 | 1/13/2016     | 1/29/2016     | -                          | -                    | -             | Yes                              |
| 846 | 1/28/2016     | 6/16/2016     | -                          | Yes                  | -             | -                                |
| 847 | 2/2/2016      | 5/16/2016     | -                          | Yes                  | -             | -                                |
| 848 | 2/29/2016     | 6/16/2016     | -                          | Yes                  | -             | -                                |
| 849 | 3/12/2016     | 6/16/2016     | -                          | Yes                  | -             | -                                |
| 850 | 3/15/2016     | 4/21/2016     | Yes                        | -                    | -             | Yes                              |
| 851 | 3/14/2016     | 4/21/2016     | -                          | Yes                  | -             | -                                |
| 852 | 3/11/2016     | 4/21/2016     | -                          | Yes                  | -             | -                                |
| 853 | 3/31/2016     | 8/18/2016     | -                          | Yes                  | -             | -                                |
| 854 | 4/25/2016     | 5/16/2016     | -                          | -                    | -             | Yes                              |
| 855 | 5/11/2016     | 8/18/2016     | -                          | Yes                  | -             | -                                |
| 856 | 6/20/2016     | 10/20/2016    | -                          | Yes                  | -             | -                                |
| 857 | 6/16/2016     | 10/20/2016    | -                          | Yes                  | -             | -                                |
| 858 | 6/20/2016     | 7/21/2016     | -                          | -                    | -             | Yes                              |
| 859 | 6/6/2016      | 7/21/2016     | -                          | -                    | -             | Yes                              |
| 860 | 8/1/2016      | 8/18/2016     | -                          | -                    | -             | Yes                              |
| 861 | 8/2/2016      | 8/18/2016     | -                          | -                    | -             | Yes                              |
| 862 | 8/2/2016      | 9/12/2016     | Yes                        | -                    | -             | -                                |
| 863 | 8/10/2016     | 9/12/2016     | -                          | -                    | -             | Yes                              |
| 864 | 8/12/2016     | 9/12/2016     | Yes                        | -                    | -             | -                                |
| 865 | 8/24/2016     | Pending       | -                          | Yes                  | -             | -                                |
| 866 | 9/12/2016     | 10/20/2016    | -                          | -                    | -             | Yes                              |
| 867 | 9/16/2016     | Pending       | -                          | Yes                  | -             | -                                |
| 868 | 9/19/2016     | Pending       | -                          | Yes                  | -             | -                                |
| 869 | 9/22/2016     | 10/20/2016    | -                          | -                    | -             | Yes                              |
| 870 | 9/29/2016     | Pending       | -                          | Yes                  | -             | -                                |

### Complaints Outside IC Purview

| IC#   | Date Received | Date Disposed | Referred |
|-------|---------------|---------------|----------|
| 16-1  | 11/23/15      | 12/10/16      | -        |
| 16-2  | 11/30/15      | 12/10/16      | -        |
| 16-3  | 12/30/15      | 01/29/16      | -        |
| 16-4  | 01/13/16      | 01/29/16      | -        |
| 16-5  | 07/14/15      | 01/29/16      | Yes      |
| 16-6  | 12/14/15      | 03/17/16      | -        |
| 16-7  | 01/29/16      | 03/17/16      | -        |
| 16-8  | 02/17/16      | 03/17/16      | -        |
| 16-9  | 03/14/16      | 04/21/16      | -        |
| 16-10 | 03/28/16      | 04/21/16      | -        |
| 16-11 | 04/01/16      | 04/21/16      | -        |
| 16-12 | 04/01/16      | 04/21/16      | -        |
| 16-13 | 04/15/16      | 05/16/16      | -        |
| 16-14 | 04/21/16      | 05/16/16      | -        |
| 16-15 | 04/23/16      | 05/16/16      | -        |
| 16-16 | 04/28/16      | 05/16/16      | -        |
| 16-17 | 04/19/16      | 6/16/2016     | -        |
| 16-18 | 06/06/16      | 7/21/2016     | -        |
| 16-19 | 06/19/16      | 7/21/2016     | -        |
| 16-20 | 08/02/16      | 8/18/2016     | -        |
| 16-21 | 08/12/16      | 9/12/2016     | -        |
| 16-22 | 08/15/16      | 9/12/2016     | -        |
| 16-23 | 08/15/16      | 9/12/2016     | -        |
| 16-24 | 08/03/16      | 9/12/2016     | -        |
| 16-25 | 08/29/16      | 9/12/2016     | -        |
| 16-26 | 09/01/16      | 9/20/2016     | -        |
| 16-27 | 09/01/16      | 9/20/2016     | -        |